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|---|--|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) | Attorney Docket No.                      | 4646.002                                   |
|   | First Inventor or Application Identifier | Cox, Alan L.                               |
|   | Title                                    | STORAGE AND RETRIEVAL SYSTEM FOR WEB CACHE |
|   | Express Mail Label No.                   | EL157448505US                              |

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| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.  | <b>ADDRESS TO:</b><br>Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231   |
| 1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)   | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix)   |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages 19]<br>(preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> | 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul> |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 4]   | <b>ACCOMPANYING APPLICATION PARTS</b>  |
| 4. Oath or Declaration [Total Pages 4] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)<ul style="list-style-type: none"><li>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</li></ul></li></ul>                                      | 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))   |
| <b>* NOTE FOR ITEMS 1 &amp; 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</b>   | 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney  |
|  | 9. <input type="checkbox"/> English Translation Document (if applicable)   |
|  | 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  |
|  | 11. <input type="checkbox"/> Preliminary Amendment   |
|  | 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)   |
|  | 13. <input checked="" type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)  |
|  | 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)   |
|  | 15. <input type="checkbox"/> Other: _____  |

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_  
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label \_\_\_\_\_ or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

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|                   |                     |                                   |        |
|-------------------|---------------------|-----------------------------------|--------|
| Name (Print/Type) | C. Dale Ouisenberry | Registration No. (Attorney/Agent) | 36,643 |
| Signature         |                     | Date                              |        |

Burden Hour Statement: This form is estimated to take 9.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Attorney Docket  
No. 4646.002/CDQ

JC921 U.S. PTO  
09/677979  
10/03/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 )  
COX, ALAN L., et al )  
 )  
Serial No.: ) Group Art Unit:  
 )  
Filed: Herewith ) Examiner:  
 )  
For: STORAGE AND RETRIEVAL SYSTEM )  
FOR WEB CACHE )

GENERAL AUTHORIZATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING  
37 C.F.R. § 1.10

I hereby certify that this document and its attachments are being deposited with the United States Postal Service, "Express Mail" service, as Express Mail No. EM045512628US in an envelope addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231, on the date below:

10-02-00


Date

*Elaine Barnes*

Signature

Sir:

Pursuant to 37 C.F.R. § 1.136(a)(3), Applicant authorizes the Commissioner to treat any concurrent or future reply that requires a petition for an extension of time as incorporating a petition for an extension of time for the appropriate length of time, assuming the required petition is not separately submitted with the reply. If no check is submitted with the reply, or if a check is submitted but it is insufficient to cover the required fee, then the Commissioner is authorized to charge the required fee to Deposit Account No. 20-1299, Order No. 4646.002/CDQ.

  
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(713) 877-1145 (Facsimile)

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Box PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Case Docket No. 4646.002/CDQ

921 U.S. PTO  
09/677979  
10/03/00

Sir:

Transmitted herewith for filing is the patent application of Inventors, Alan L. Cox, et al., for *STORAGE AND RETRIEVAL SYSTEM FOR WEB CACHE*, which includes:

- ☒ A specification consisting of: A title page; an 17 -page disclosure; 5 pages of claims; and a one-page abstract of the disclosure; totaling 19 pages.
- ☒ 4 sheets of informal drawings.
- ☒ Declaration of the Inventors.
- ☒ Power of Attorney.
- ☒ A Verified Statement Claiming Small Entity Status.
- ☒ A self-addressed, stamped postcard showing receipt.
- ☒ General Authorization.
- ☒ 5 Assignments and Recordation Cover Sheets.
- ☒ Certificate of Mailing by Express Mail No. EM045512628US.

| CLAIMS AS FILED                    | Small Entity         | No Small Entity    |
|------------------------------------|----------------------|--------------------|
| Total Claims 39 - 20 = 19          | x \$ 9.00 = \$153.00 | or x \$18.00 = \$0 |
| Independent Claims 5 - 3 = 2       | x \$ 39.00 = 78.00   | or x \$78.00 = \$0 |
| Multiple Dependent Claim Presented | 0                    | or 0               |
| BASIC FEE                          | \$345.00             | or \$ 690.00       |
| <b>TOTAL FILING FEE:</b>           | <b>\$576.00</b>      | <b>\$0</b>         |

This firm's check in the amount of **\$576.00** is enclosed (the basic fee of \$345.00; 19 claims @ \$9= \$153.00; = Total \$576.00). Also, enclosed is our firm's check in the amount of **\$200.00** (fees for five (5) Assignments @\$40.00). However, if the check is missing or insufficient, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment, to **Account No. 20-1299; Order No. 4646.002/CDQ**. Two copies of this transmittal cover are enclosed for your convenience.

Please address all correspondence in connection with this application to: **C. DALE QUISENBERRY (FILE 4646.002/CDQ); GOLDSTEIN & HEALEY, L.L.P.; 1177 West Loop South, 10th Floor; Houston, TX 77027; Telephone--(713) 877-1515; Facsimile--(713) 877-1145.**

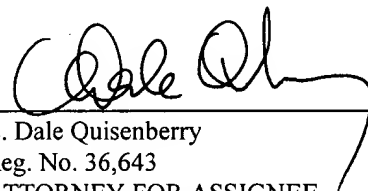
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37 C.F.R. 1.10

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Date

Signature

GOLDSTEIN & HEALEY, L.L.P.

  
C. Dale Quisenberry  
Reg. No. 36,643  
ATTORNEY FOR ASSIGNEE